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FORM PTO/SB/08A  
(REV. 10-96)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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Substitute for form 1449A/PTO

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets necessary)

Sheet 1

1

of

3

Attorney Docket Number

37168/82045

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## U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
CB	A.A	4,055,757		Tillman, et al.	10-25-1977	
	A.B	5,291,398		Hagan	3-1-1994	
	A.C	5,631,828		Hagan	5-20-1997	
	A.D	5,752,236		Sexton, et al.	5-12-1998	
	A.E	5,926,792		Koppes, et al.	7-20-1999	
	A.F	5,930,760		Anderton, et al.	7-27-1999	
	A.G	5,940,810		Traub, et al.	8-17-1999	
	A.H	6,058,377		Traub, et al.	5-2-2000	
	A.I	6,061,661		Hagan	5-9-2000	
	A.J	6,064,969		Haskins	5-16-2000	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A.K							
	A.L							
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EXAMINER

Chris Buchanan

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet

2

of

3

Application Number

09/406,290

Filing Date

September 24, 1999

First Named Inventor

Jeffrey K. Dellinger, et al.

Group Art Unit

2761

Examiner Name

Unknown

Attorney Docket Number

37168/82045

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		Number	Kind Code <sup>2</sup> (if known)			
CB	B.A	6,064,983		Koehler	5-16-2000	
	B.B	6,064,986		Edelman	5-16-2000	
	B.C	6,085,174		Edelman	7-4-2000	
	B.D	6,105,865		Hardesty	8-22-2000	
	B.E					
	B.F					
	B.G					
	B.H					
	B.I					
	B.J					

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	B.K							
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Substitute for form 1449B/PTO  <b>SUPPLEMENTAL INFORMATION</b> <b>DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets necessary)				Application Number	09/406,290
				Filing Date	September 24, 1999
				First Named Inventor	Jeffrey K. Dellinger, et al.
				Group Art Unit	2761
				Examiner Name	Unknown
Sheet	3	of	3	Attorney Docket Number	37168/82045

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CB	D.A	AnnuISHARE™ Consumer Brochure, Version 1.20, 4/6/99; Cover: <u>AnnuISHARE™ Lifetime Income Annuity; SHAREways to an enhanced and secure future in retirement</u> ; (see inside cover, pages 3-6, inside back cover and back cover).	
	D.B		
	D.C		
	D.D		
	D.E		
	D.F		
	D.G		
	D.H		
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EXAMINER	<i>Chris Buchanan</i>	DATE CONSIDERED	6/20/02
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